412 Rec'd PCT/PTO 16 MAR 2000

| FORM (REV) | PTO-139 1-98) | 0 (Modified) U.S. DEPARTMEN MMERCE PATENT AND TRADEMARK OFFICE | ATTOP: S DOCKET NUMBER | | | | | | | |
|----------------|---|---|---|--|--|--|--|--|--|--|
| | | ANSMITTAL LETTER THE UNITED STATES | FLA. | | | | | | | |
| | | DESIGNATED/ELECTED OFFICE (DO/EO/US) | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR | | | | | | | |
| | | CONCERNING A FILING UNDER 35 U.S.C. 371 | 09 /50890 7 | | | | | | | |
| INTE | RNAT | IONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP98/05652 | PRIORITY DATE CLAIMED 25 September 1997 | | | | | | | |
| TITLI | | VVENTION 3 September 1998 | 23 September 1997 | | | | | | | |
| APPL | ICAN' | ADDITION SALTS OF MORPHINE ALKALOIDS AND THE API (S) FOR DO/EO/US ANN, Rainer et al. | PLICATION THEREOF | | | | | | | |
| Appli | icant l | nerewith submits to the United States Designated/Elected Office (DO/EO/US) the | ne following items and other information: | | | | | | | |
| 1. | × | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filin | | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | | | | | | | |
| 4. | \boxtimes | | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) | | | | | | | | |
| i | | a. is transmitted herewith (required only if not transmitted by the International Bureau). | | | | | | | | |
| İ | | b. 🛮 has been transmitted by the International Bureau. | | | | | | | | |
| i | | c. \square is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | \boxtimes | A translation of the International Application into English (35 U.S.C. 371(c)(2 | 2)). | | | | | | | |
| 7. | \boxtimes | | | | | | | | | |
| 8. | \boxtimes | | | | | | | | | |
| 1 | | a. are transmitted herewith (required only if not transmitted by the Inter | rnational Bureau). | | | | | | | |
| 6. 7. 8. | | b. have been transmitted by the International Bureau. | | | | | | | | |
| l | | c. \square have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | | d. 🛭 have not been made and will not be made. | | | | | | | | |
| 9. | | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(\$)). | | | | | | | | |
| 10. | | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | |
| 11. | | | | | | | | | | |
| 12. | | A copy of the International Preliminary Examination Report (PCT/IPEA/409). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | | |
| | Items 13 to 20 below concern document(s) or information included: | | | | | | | | | |
| 13. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. | | | | | | | | |
| 14. | | | | | | | | | | |
| 15. | | | | | | | | | | |
| 16. | | | | | | | | | | |
| 17. | | | | | | | | | | |
| 18. | | | | | | | | | | |
| 19. | | | | | | | | | | |
| 20. | Ø | Other items or information: | | | | | | | | |
| | | A copy of the New Claims in Response to the Written Opinion filed 6 March 1999 Return Post Card | | | | | | | | |
| | | | "Express Mail" Label No. EL454545585US Date of Deposit 16 MARCH 2000 | | | | | | | |
| | | | I hereby certify that this paper is being deposited with the United States Postal Service "Express Market Post Office to Addressee" service under 37 CFR 1 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231. | | | | | | | |

Page 1 o

By Ownah Ehret
Typed Name: Deborah Ehret

514 Rec'd PCT/PTO 1 6 MAR 2000

| U.S. APPLICATION | 9-/1508.9E0 | INTERNATIONAL APPLIC PCT/EP98/0 | | | | i i | DOCKET NUMBER 4-0037 | | | |
|---|--|---|---------------------|-----------|---------|------------------------|---------------------------------------|--|--|--|
| 21. The fol | llowing fees are submitted:. | | | | | CALCULATION | S PTO USE ONLY | | | |
| ☐ Neither inte | AL FEE (37 CFR 1.492 (a) (1) - rnational preliminary examination I search fee (37 CFR 1.445(a)(2) ional Search Report not prepared | n fee (37 CFR 1.482) nor paid to USPTO | | \$97 | 0.00 | | | | | |
| ☑ Internationa | l preliminary examination fee (37 Internation Search Report prepare | 0.00 | | | | | | | | |
| Internationa but internati | Il preliminary examination fee (37 ional search fee (37 CFR 1.445(a) | 0.00 | | | | | | | | |
| ☐ Internationa but all claim | I preliminary examination fee pains did not satisfy provisions of PC | 0.00 | | | | | | | | |
| Internationa and all clain | Il preliminary examination fee pains satisfied provisions of PCT Art | 6.00 | <u> </u> | * 1 | | | | | | |
| | ENTER APPROPRI | | M | | | \$840.00 | | | | |
| Surcharge of \$130.0 months from the ea | 00 for furnishing the oath or declar rliest claimed priority date (37 C | FR 1.492 (e)). | 20 | 30 |) | \$0.00 | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | _ | RATE | | 00.00 | | | | |
| Total claims | 16 - 20 = | 0 | | x \$18.00 | | \$0.00 | | | | |
| Independent claims | <u> </u> | 0 | | x \$78.00 | | \$0.00 \$0.00 | | | | |
| Multiple Depender | nt Claims (check if applicable). | ABOVE CALCUL. | | | <u></u> | \$840:00 | | | | |
| Reduction of 1/2 fo must also be filed (| or filing by small entity, if applica (Note 37 CFR 1.9, 1.27, 1.28) (ch | able. Verified Small Entity | | | | \$0.00 | | | | |
| | | SU | J B 7 | TOTAL | = | \$840.00 | | | | |
| Processing fee of \$1 months from the ear | 130.00 for furnishing the English rliest claimed priority date (37 C | translation later than FR 1.492 (f)). | 20 | 3(|) + - | \$0.00 | | | | |
| | | TOTAL NATION | AI | FEE | = | \$840.00 | | | | |
| Fee for recording th accompanied by an | ne enclosed assignment (37 CFR I appropriate cover sheet (37 CFR | 1.21(h)). The assignment mu 3.28, 3.31) (check if applied | ust b | e). | | \$0.00 | | | | |
| | | TOTAL FEES EN | CL | OSED | = | \$840.00 | | | | |
| | | | | | | Amount to be: refunded | \$ | | | |
| | | | | | | charged | \$ | | | |
| A check in the amount of \$840.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 12-1086 A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| | appropriate time limit under 3 ust be filed and granted to resto | | | | petiti | on to revive (37 CF | R | | | |
| SEND ALL CORR | ESPONDENCE TO: | | | 0.0 | , | .1 | | | | |
| Jane Massey Lica | ata, Reg. No. 32,257 | | SIGNATURE STORATURE | | | | | | | |
| Law Offices of Ja 66 E. Main Street | ine Massey Licata t | | LICATA, Jane Massey | | | | | | | |
| Marlton, New Jei | rsey 08053 | | NAME | | | | | | | |
| Telephone: (856) | | | 32,257 | | | | | | | |
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| | | | | DATE | | | | | | |